



## PATENT APPLICATION

Sheet 1 of 3

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10013499-1

APPLICATION NO.

09/896,385

CONFIRMATION NO.

APPLICANT

Joubert Berger et al.

FILING DATE

June 29, 2001

GROUP

N/A

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A					
	1B					
	1C					
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

KT	1Q	Hewlett-Packard Virtual Vault "Administrator's Guide," HP Part No. B5413-90061, Release A.04.50, E0401, printed 2001, pages ii-xii, and 1-224. Available online at <a href="http://docs.hp.com/hpux/pdf/B5413-90061.pdf">http://docs.hp.com/hpux/pdf/B5413-90061.pdf</a> .
KT	1R	Hewlett-Packard Trusted Solaris Administration Overview, Chapter 3, "Administering Trusted Networking," - December 2000, pages 71-78. Available online at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/4120?Ab2Lang=C&amp;Ab2Enc=820-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/4120?Ab2Lang=C&amp;Ab2Enc=820-8859-1</a> .
KT	1S	Hewlett-Packard Trusted solaris Administration Overview, Chapter 4, "Administering Auditing," - December 2000, pages 87-99. Available online at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/5573?Ab2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/5573?Ab2Lang=C&amp;Ab2Enc=iso-8859-1</a> .

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Kenneth Say

DATE CONSIDERED

8/24/04



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10013502-1

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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

KT	2Q	HP Praesidium VirtualVault "Concepts Guide," HP Part No. B5413-90051, Release A.04.00, E1199, printed 199, pages ii-viii, and 1-82. Available on-line at <a href="http://docs.hp.com/hpux/pdf/B5413-90051.pdf">http://docs.hp.com/hpux/pdf/B5413-90051.pdf</a> .
KT	2R	Sun Microsystems "Trusted Solaris Audit Administration," Trusted Solaris 8, Sun Microsystems Part No. 805-8121-10, December 2000, pages 1-342. Available on-line at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLAUDADMIN/@Ab2TocView?AAb2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLAUDADMIN/@Ab2TocView?AAb2Lang=C&amp;Ab2Enc=iso-8859-1</a> .
KT	2S	Sun Microsystems "Compartmented Mode Workstation Labeling: Encodings Format," Sun Microsystems Part No. 805-8123, December 2000, pages 1-176. Availabl on-lin at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLLBLADMIN/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLLBLADMIN/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1</a> .

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KT	3Q	Sun Microsystems "Trusted Solaris User's Guide," Sun Microsystems Part No. 805-8115-10, December 2000, pages 1-133. Available on-line at <a href="http://docs.sun.com/ab2/coll.175.4/TRSSUG/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSSUG/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1</a> .
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EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A				
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
KT	1L	WO 02/061552	08/08/2002	Hewlett-Packard Co.		
KT	1M	WO 02/061553	08/08/2002	Hewlett-Packard Co.		
KT	1N	WO 02/061554	08/08/2002	Hewlett-Packard Co.		
	1O					
	1P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

KT	1Q	Foreign Search Report dated January 7, 2003, 1 page
	1R	
	1S	

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*Kenneth Iny*

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*8/24/04*